



HEALTH SCIENCES AND AFFIRMATIVE ACTION MITIGATION STRATEGIES

ETHICAL DILEMA OF RESEARCH AND PUBLIC HEALTH INTERVENTIONS IN EMERGING EPIDEMICS: THE CASE OF COVID-19

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ABSTRACT

Emerging epidemics, including Covid-19, SARs, Ebola, MERS, have posed a dilemma on decisions taken in health research and public health interventions. It has been difficult to draw a line between research and public health interventions, particularly vaccination. Ideally, the development and administration of safe and effective diagnostic, therapeutic and vaccine products depend on clinical research. Clinical research should be rigorous, of scientific and societal value, and executed with the highest standards of scientific validity. In this case, validity includes blind treatment assignment, randomization and controls. During development of vaccines, considerations have to be made on the safety and health of the public, patients, essential workers, and healthcare professionals. However, in emerging epidemics (such as the Covid-19 pandemic), implementation programmes for public health are hybrids of research and interventions. This raises questions of validity and ethical obligations in the research processes, and in the implementation of public health programmes. Since it is difficult to ascertain the techniques or combination of tools and approaches that will guarantee that epidemics would neither resurge nor spread in the future, it is important to evaluate ethical issues for future control of epidemics and public health. Key among the issues is whether our current conventions of research such as regulations, guidelines and institutional ethical reviews adequately address ethical issues in emerging epidemics and what we need to change to address uncertainties faced in epidemics now and in future. As the Covid-19 pandemic recedes, there may be need for a global health treaty for emergencies.

Keywords: Ethical dilemma, Interventions, Emerging epidemics, Covid-19

INTRODUCTION

The scale with which public health professionals are implementing strategies to control Corona virus disease 19 (Covid-19) diagnosis, treatment, and prevention raises several ethical concerns. It's difficult to tell the difference between research and public health initiatives. As part of the public health response to the pandemic, programs that are being researched are also being implemented (Bierer et al, 2020). This appears to have been the pattern of events in previous epidemic disasters such as HIV/AIDS, Ebola, Severe Acute Respiratory Syndrome (SARS), and Middle East Respiratory Syndrome (MERS) (WHO,2016). Ethical concerns, uncertainties, and deficiencies arise because guidelines for conducting research are assumed to be sufficient in implementation of public health programs (including how they are conducted, governed, and communicated to relevant populations). This paper reviews the dilemma the health professionals face and possible solutions to control epidemics. The guiding questions were: How can we safely conduct research and public health programs during epidemics without violating ethical issues? What are the research priorities and barriers for vaccines and public health interventions in epidemics? In the future, what needs to be changed, how can it be changed, and who monitors compliance with the changes?

Various foundational and contemporary literature on health research demonstrate the importance of adhering to ethical guidelines such as obtaining individual informed consent, voluntarily enrolling participants in research, anonymity, and confidentiality (Holland, 2017). These guidelines regulate the conduct of research in order to protect the interests of research subjects and to prevent ethical wrongdoing (WHO, 2016). In many ways, as explained by previous researchers (Childress & Bernheim, 2008), Daniels (2000), Friedman (2008), Kass (2001) and Thompson, et al. (2006), and proportional research ethics guidelines (Belmont Report, 1979), and as adopted in previous international ethical guidelines. These guidelines are similar to previous international ethical guidelines. The guidelines are based on the assumption that there is enough time to conduct research, particularly clinical trials. The

guidelines were primarily intended to protect the rights of individual research participants.

This claim is supported by other guidelines in ethical scrutiny conventions such as the Nuremberg Code (1946), the World Medical Association's (WMA) Declaration of Helsinki (2013), the Council on International Organizations of Medical Sciences' (CIOMS) International Ethical Guidelines on Biomedical Research involving Human Subjects (CIOMS, 2016), and others (Vaughn,2017; Wassertheil-Smoller & Smoller,2015). Personal liberty is prioritized over public health in the guidelines, which are deemed to be universal principles that cut across cultural lines. In the event of an epidemic, however, controlling the spread of a disease becomes the top public health priority. Public health programmes must ensure that disease, as in epidemics, does not spread through evidence based interventions developed from research. Public health ethics has yet to provide relevant methods for maximizing benefits for a large population, particularly when programs restrict and contradict civil liberties enjoyed during research (e.g. anti-smoking regulations, mandatory seat belts or helmets, mandatory use of masks) or involve liberty-limiting measures.

The question of whether ethical scrutiny conventions for research should also apply to public health intervention programs remains unresolved. The catastrophic threat posed by epidemics is the impetus for this debate. Epidemics such as Covid-19, HIV/AIDS, Ebola, SARS, and MERS emerge unexpectedly, spread quickly, and have the potential to wipe out the entire human race if disease management decisions are not made quickly. Such decisions are made to protect human life. Decisions frequently do not adhere to the established conventions of ethical scrutiny (Sambala et al,2019), resulting in an ethical quandary. The debate here is between emphasizing individual rights versus emphasizing public health ethics as a societal responsibility to protect and promote population health (Buchanan & Miller, 2006). Even with current scientific knowledge, decisions for research and public health interventions frequently involve trade-offs involving health and non-health risks and benefits on both sides.

By March 2020, more than 300,000 registered clinical trial studies had been conducted worldwide in the aftermath of the Covid-19 pandemic (Clinical Trials.gov. 2020). Aside from clinical trials, there were numerous trial and error therapeutics, interventions, and misinformation about Covid-19 (Biere et al, 2020). The results of clinical trials produced three vaccines recommended by the World Health Organization (WHO) to aid in disease control (Pfizer-BioNTech vaccines by Moderna, AstraZeneca/Oxford, and Jansen) (WHO, 2021; MHRA, 2021). However, even with these vaccines, there are several unresolved issues (including ethical issues) regarding their use. As a result, some people are hesitant to get vaccinated. When some people refuse to take vaccines, the question becomes, whose rights and interests come first during such public health challenges: the non-compliant and potentially infectious or those of the general public?

The development of Covid 19 vaccines is a remarkable accomplishment. However, it is unclear how long the vaccines will provide personal protection or whether they will completely protect against new variants - (Some reports have associated the vaccines with blood clots; in other cases, fully vaccinated persons have contracted the virus and died of Covid 19). Comprehensive research and testing are still required to combat new variants, control outbreaks, protect people who have not been immunized, and promote long-term wellness. Ideally, this means that the vaccines are still experimental (trial vaccines), but are being used for research as well as public health interventions. This raises two fundamental ethical issues in the control of epidemics. First, should we disregard individual rights, as well as the risks posed by trial vaccines and interventions, due to the catastrophic nature of a disease? Second, when does an experimental vaccine become a routine immunization vaccine? Addressing these ethical concerns is beneficial in ensuring vaccine safety, removing uncertainties, and reducing vaccine hesitancy.

One argument in favor of using COVID-19 vaccines (as well as other vaccines used in epidemic emergencies) is that vaccines are a global public good (WHO, 2016). The vaccines are intended to reduce the mortality and suffering that may occur as a result of the epidemics. Such an argument justifies vaccine regulatory accommodation (Emergency Use Authorization- EUA) and provides the moral resolve to use vaccines as Public Health interventions in emergencies (FDA,2020). Although this is a compelling argument, the resolution does not alleviate safety concerns or uncertainty about the future of research participants or the general public. Given the number of lives lost during the Covid-19 in such a short period of time on the global stage, it is a difficult decision to make. Perhaps this is why the WHO global ethics expert team group formed to provide guidance for COVID-19 pandemic clinical trials neither supports nor opposes the possibility of interventions such as challenge trials being conducted in the Covid 19 pandemic (Bierer et al,2020).

The issue with such noncommittal decisions and accommodations is that they allow for misinformation, which may lead to the promotion of certain therapeutic approaches for which there is little data. One such case was the use of the oral drug hydroxy-chloroquine to treat Covid-19. The drug hydroxy-chloroquine is approved to treat malaria and

lupus. Covid-19 patients were thought to benefit from the drug, which could save their lives. Despite the lack of efficacy data, the FDA granted the drug an EUA (Facher, 2020) with little information on immediate and long-term patient outcomes. Nobody knows what long-term effects it had on the Covid 19 patients. This is an example of why there is a need for reforms that take into account the safety of public health interventions by examining what should be included in research regulations and health programs during epidemics.

Another critical issue to consider in epidemics is when an experimental vaccine or drug should become a routine vaccine. This problem can be solved by establishing a 'threshold' for vaccines as a justification for rolling out vaccines (implementation of mass immunization). This necessitates sufficient clarity as well as a uniformity threshold. Such data is derived from process and evidence standards for regulatory approvals (including accommodated authorizations) of new vaccines and drugs. The clarity and uniformity of a vaccine inform on its efficacy and effectiveness. Evidences should specify how much and what types of cumulative data are required to make a decision.

The accelerated approval and novelty of the Covid19 vaccine creates significant uncertainty and may exacerbate existing vaccine-related fears and hesitancy. Lack of coordination among research groups could be the major impediment to establishing a process of sufficient clarity and uniformity. There is now a growing body of literature recognizing the need for public health scientists to be more involved in containing the spread of emerging infectious diseases as well as the long-term safety of vaccines for human populations (Bierer et al, 2020; WHO, 2021).

DISCUSSION

Despite the fact that researchers are engaged in lab research to develop vaccines, clinical research to test treatment strategies, surveys to assess risk perceptions of infections, and mixed methods designs to understand social, behavioral, and educational factors related to the disease, research remains deficient of the ethical requirements for public health programs during epidemics. There are a variety of ethical decision-making frameworks that use various moral theories to attempt to balance individual interests against public health goals in epidemics. Among these are Kass's (2001) six-step analytical framework, Daniels's (2000) accountability for reasonableness framework, Childress and Bernheim's (2008) framework, and the recent Ubuntu (2018) framework. However, none of these frameworks provide a sufficient and balanced justification for promoting specific values and ethical features relevant to both research and public health programmes, as well as the rights inherent in both (Sambala et al, 2020).

Much of the work that public health workers do is directly related to research and evidence-based health practice. It is unethical to refuse to conduct research on health practice before implementing interventions within the profession. However, although there is a demand on health professionals to justify and base their practice on robust research-based interventions, epidemic situations do not allow for this. None the less, it is critical to base any interventions on research. The solution here would be to coordinate the decisions made by health scientists. Strategies for engaging health scientists more fully are required in the context of epidemics. In the context of epidemics, strategies are required to engage health scientists more fully in order to effectively address the associated issues related to improved decision-making skills, evidence-based practice, and patient care improvement.

Ethical dilemmas are especially relevant in light of the recent Covid 19 responses, where there were many 'trial and error' interventions with unknown outcomes (Biere et al, 2021). The corona virus was discovered in 2002, so it is not a new discovery (Fung and Liu, 2019). The virus was expected to cause an epidemic at some point; however, even with prior knowledge, the world community was unprepared when the epidemic occurred. This was due to a lack of research capacity in most developing nations and non prioritization of the disease by developed nations. This is the reason why in the Covid 19 pandemic, there were gaps, ambiguities, and lack of consistency in research and intervention processes. The majority of deficiencies could be attributed to global inequalities in vaccine research and development, manufacturing capacity, and poor collaboration among health research scientists.

Clinical research should be rigorous, of scientific and societal value, and carried out with the highest scientific validity standards (CIOMS, 2016). The standard procedures for blinding to treatment assignment, randomization, and controls must be followed. Regardless of time constraints, clinical research is clearly required to address complex issues associated with disease infections. However, existing research procedures, mechanisms, and guidance may not be applicable in the case of emerging epidemics. In epidemics, the priority is to find a vaccine or a cure and to stop the disease from spreading (Ezekiel et al, 2004). Inadequacies in procedures could be addressed by evidentiary standards that necessitate reforms (regulatory reforms, system redesign, or process innovation) and by identifying new procedures that can be included in the conventions of ethical scrutiny for public health programs.

Ideally, research should also assess the impact of an application on decision making using the best available

evidence, and such an application should be pursued vigorously and routinely by health researchers in collaboration with physicians. To determine whether a vaccine provides 'real-life' protection against the etiologic agent, Phase III clinical trials require the recruitment of a much more diverse cross-section of the population (and for a period long enough as evidence for the drug/vaccine efficacy). However, in an emergency such as in epidemics, such a threshold is constrained by the amount of time available to prevent the loss of human lives. Adequate subgroup analyses are required, especially in phase III research studies. Groups were not adequately represented in Phase III vaccine trials, as it is now in the Covid 19 situation. Extraneous variables may confound study results if supportive care standards are not consistent across sites. If supportive care standards are unattainable in low-resource settings (such as developing countries), study findings may not be generalizable to these contexts.

Researchers must ensure that the host community understands the nature of the implementation program, as well as the associated risks and potential harms, when conducting clinical trials. This procedure necessitates the use of numerous resources, including time. During epidemics, regulations should strive to conserve resources while also protecting subjects from unintentional exposure to those who are asymptomatic but infected with a disease such as COVID-19. To achieve this, it would be necessary to improve transparency, clarity, and accountability to relevant authorities, as well as the social value of supplementing public health intervention programs. Individual participants' voluntarism would have to be ensured. This could be accomplished by reducing arbitrary, unfair, and discriminatory practices and developing the appropriate procedures and mechanisms to achieve these goals for health programs (including best utilization of existing procedures, guidance, institutional capacities)

Timing is a common issue in epidemic research: even impressively accelerated vaccine studies may reach a critical stage just as the first wave of infection is coming under control. This makes determining whether the vaccine provides protection much more difficult and time-consuming, because research participants are far less likely to be infected. In the case of the Covid 19 pandemic, flexibility and reconsideration were required because not only did the intensity and severity of infection vary over time and by location, but also because knowledge of the disease and understanding of its treatment (and prevention) grew.

CONCLUSIONS AND RECOMMENDATIONS

The COVID-19 pandemic has fundamentally altered how epidemic research and public health interventions must be carried out. Because it is difficult to predict the techniques or combinations of tools or approaches that will ensure that epidemics do not occur or spread in the future, public health scientists have a moral obligation to address these concerns and uncertainties. The several concerns and uncertainties that have been identified must be addressed.

The difficulties in determining definitive solutions to complex problems, such as the ethics of infectious disease research and control, reflect conflicts between Bioethics and the newer field of Public health ethics. Current research conventions, such as regulations, guidelines, institutional reviews, and ethics, fail to adequately address ethical issues in epidemic control. Ethical disagreements arise regarding the best strategies for reducing disease burden, particularly when the strategies have not been proven in a given context; the safety of research/interventions; and the priorities and barriers for research on vaccines/drugs during epidemics. The core goals of ethical scrutiny in research and the implementation of public health intervention programs must be redesigned.

According to Francis et al. (2005), if bioethics had considered ethical debates in infectious diseases at the time of the discipline's development, ideas of informed consent, confidentiality, and distributive justice might have been construed differently than they are now. Because research and public health interventions in epidemics occur at the same time, the conventions should instill reforms, procedures, and mechanisms necessary to achieve the goals of research and implementation public health programs. This reconfiguration should also strive to ensure that clinical trials are conducted in a safe and efficient manner. As the Covid 19 pandemic recedes, a global health treaty for epidemic emergencies may be required.

Within the context of epidemics, strategies are required to more fully engage health research scientists and public health practitioners in order to effectively address associated issues such as coordination, improved decision-making skills, evidence-based practice, and patient care improvement. These should include relevant obligations, who should hold them, relevant authorities, and accountability. As a result, the capacities and authorities required to implement any new or newly configured approaches will need to be considered to experiment with. As a result, the capacities and authorities required to implement any new or newly configured approaches will need to be considered. To accelerate progress in appraisals to address or accommodate ethical uncertainties and inadequacies, there is a need for leadership, preparation, and planning, as well as the need to apply what has been learned in case another epidemic occurs or, in the absence of another epidemic, to the next pandemic.

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